FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO. 10269-20CON 10/655,409 (HSX/001CON5) CONF. NO. 6575



INFORMATION DISCLOSURE STATEMENT BY APPLICANT

APPLICANTS
Timothy Maxwell Keiser, et al.

FILING DATE
September 4, 2003

CONF. NO.
6575

GROUP ART UNIT
3623

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CG	3,499,646	03/10/1970	Burgess, Jr. et al.	273	1	
CG	3,573,747	04/06/1971	Adams, C. et al.	340	172.5	
CG	3,581,072	05/25/1971	Nymeyer, F.	235	152	
CG	4,412,287	10/25/1983	Braddock, III, W.	364	408	
CG	4,597,046	06/24/1986	Musmanno et al.	364	408	
CG	4,674,044	06/16/1987	Kalmus et al.	364	408	
CC	4,823,265	04/18/1989	Nelson	364	408	
CG	4,903,201	02/20/1990	Wagner, S.	364	408	
CG	4,980,826	12/25/1990	Wagner, S.	364	408	
CG	5,077,665	12/31/1991	Silverman, D. et al.	364	408	
CG	5,101,353	03/31/1992	Lupien, W. et al.	364	408	
Ca	5,136,501	08/04/1992	Silverman, D. et al.	364	408	
CG	5,237,500	08/17/1993	Perg, et al.	364	408	
CE	5,270,922	12/14/1993	Higgins	364	408	
CCA	5,297,032	03/22/1994	Trojan et al.	364	408	
CG	5,375,055	12/20/1994	Togher et al.	364	408	
CG	5,497,317	03/05/1996	Hawkins, et al.	364	408	
CG	5,508,913	04/16/1996	Yamamoto, K. et al.	364	408	
Cla	5,537,314	07/16/1996	Kanter	364	406	
CC	5,557,517	09/17/1996	Daughterty, III, V.	364	408	
CC	5,689,652	11/18/1997	Lupien, W. et al.	395	237	l
CG	5,692,233	11/25/1997	Garman, M.	705	36	
C Ca	5,724,524	03/03/1998	Hunt et al.	395	237	
CG	5,727,165	03/10/1998	Ordish, C. et al.	395	237	
Cca	5,819,238	10/06/1998	Fernholz	705	36	
O C	5,826,241	10/20/1998	Stein, et al.	705	26	
CG	5,905,974	05/18/1999	Fraser et al.	705	37	
23	5,913,203	06/15/1999	Wong, et al.	705	39	
CCI	5,845,266	12/01/1998	Lupien et al.	705	37	
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CG	5,950,176	09/07/1999	Keiser et al.	705	37	
CG	6,012,046	01/04/2000	Lupien, et al.	705	37	
CCA	6,014,643	01/11/2000	Minton	705	37	
CC	6,016,483	01/18/2000	Rickard, et al.	705	37	<u> </u>

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	6,578,010	06/10/2003	Teacherson	705	14	

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INITIAL	DOCUMENT NUMBER	DATE	COUNTRI	CEASS	SOBCLASS	YES	NO
CC,	WO93/10503A1	5/27/1993	PCT	+	+		
CG	WO96/41315A1	12/19/1996	PCT				
Cc	WO98/58333A1	12/23/1998	PCT				
C G	WO01/39056A1	05/31/2001	PCT				
CG	WO01/16825A1	03/08/2001	PCT				
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CG	Bloomfield, Robert. "The interdependence of reporting discretion and informational efficiency in laboratory markets," The Accounting Review, v. 71, pgs. 493-511, 10/96.			
C Cq	Chan, K.C. et al. "Market structure and the intraday pattern of bid-ask spreads for NASDAQ securities," The Journal of Business, v. 68, n. 1, pg. 35, 1/95.			
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CC	Saul Hansell, "Today, Shoppers on Internet Get Access to Electronic Cash", The New York Times, Section d, Page 4, Col. 5, 10/95.
CG	Howard, Barbara. "The trade: technology aims to take the final step," Institutional Investor, v. 24, n. 1, pg. S15, 1/91.
CG	Insider Trading - Hollywood Style, Entrepreneurial Edge Online, OnlinePress.com, Matthew Ragas, February 4, 1998, 3 pgs.
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CG.	Peter H. Lewis, "Attention Internet Shoppers: E-Cash Is Here", The New York Times, Section D, Page 4, Col. 4, 10/94.
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CG	SunSpot Maryland's Online Community, Taking Stock at the Box Office, Alex Dubin, printed on 8/19/97, 5 pgs.
CG	VENTURA COUNTY REVIEW; The Los Angeles Times; Dec. 15, 1998.
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C 69	American Film Market; Hollywoodnet.com; 1997, http://www.hollywoodnet.com/conferences/afm97.htm
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APPLN. NO.

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SUPPLEMENTAL INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

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APPLICANTS

Timothy Maxwell Keiser, et al.

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EXAMINER INITIAL	DOCUM NUMB		DA	TE	N/	NAME		CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
CC	6,263,321	07/17	//2001	Daugh Vergil	tery, III, L.	705	3	6		<u> </u>

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EXAMINER	DOCUMENT NUMBER	T NUMBER DATE COUNTRY CLASS	SS SUBCLASS	TRANSLATION			
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